

APR 15 2004

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# OFFICIAL

**To:** Examiner: Joseph M. McArdle  
GROUP ART UNIT: 2132  
Confirmation No. 1606  
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**From:** John S. Sensny, Esq.

**Fax:**

**Pages** 28 including fax cover sheet

**Phone:**

**Date:** April 15, 2004

**Re:** Re: Uwe Hansmann, et al.  
U.S. Patent Appln. No. 09/398,876  
Group Art Unit: 2132  
Confirmation No.: 1606  
Our Docket: DE919980072US1 (12640)

**CC:**

## Amendment under 37 C.F.R. §1.111

Transmitted herewith are the following:

1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.11
3. Authorization to charge Deposit Acct. No. 50-0510/IBM in the amount of \$444.00 for the extra independent claims fee.
4. Certificate of Transmission by Facsimile, dated April 15, 2004 (in duplicate)

For:

Applicants: Uwe Hansmann, et al.  
U.S. Patent Appln. No.: 09/398,876  
Our Docket: DE919980072US1 (12640)  
Dated: April 15, 2004

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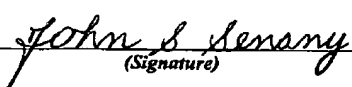
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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s): Uwe Hansmann, et al.			DE91980072US1 (12640)
Serial No. 09/398,876	Filing Date September 20, 1999	Examiner Joseph M. McArdle	Group Art Unit 2132
Invention: METHOD AND SYTEM FOR SECURELY HANDLING INFORMATION BETWEEN TWO INFORMATION PROCESSING DEVICES			
<p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.111</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 872-9306</u> on <u>April 15, 2004</u> (Date)</p> <p><u>John S. Sensny</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u>John S. Sensny</u> (Signature)</p> <p>Note: Each paper must have its own certificate of mailing.</p>			

P18/REV01

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				Docket No. <b>DE919980072US1 (12640)</b>	
Applicant(s): <b>Uwe Hansmann, et al.</b>					
Serial No. <b>09/398,876</b>	Filing Date <b>September 20, 1999</b>	Examiner <b>Joseph M. McArdle</b>	Group Art Unit <b>2132</b>		
Invention: <b>METHOD AND SYSTEM FOR SECURELY HANDLING INFORMATION BETWEEN TWO INFORMATION PROCESSING DEVICES</b>					
<u>TO THE COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	43 -	40 =	3 x	\$18.00	\$54.00
INDEP. CLAIMS	10 -	5 =	5 x	\$78.00	\$390.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$444.00</b>
<input type="checkbox"/> No additional fee is required for amendment. <input checked="" type="checkbox"/> Please charge Deposit Account No. <b>50-0510/IBM</b> in the amount of <b>\$444.00</b> <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>50-0510/IBM</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
_____ <b>John S. Sensny</b> Signature			Dated: <b>April 15, 2004</b>		
<b>John S. Sensny</b> Registration No: 28,757  <b>SCULLY, SCOTT, MURPHY &amp; PRESSER</b> 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343  CC: JSS:jy					
<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: auto;">         I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.           _____          Signature of Person Mailing Correspondence           _____          Typed or Printed Name of Person Mailing Correspondence       </div>					

P11LARGE/REV06

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>		Docket No. <b>DE91980072US1 (12640)</b>	
Applicant(s): <b>Uwe Hansmann, et al.</b>			
Serial No. <b>09/398,876</b>	Filing Date <b>September 20, 1999</b>	Examiner <b>Joseph M. McArdle</b>	Group Art Unit <b>2132</b>
Invention: <b>METHOD AND SYTEM FOR SECURELY HANDLING INFORMATION BETWEEN TWO INFORMATION PROCESSING DEVICES</b>			
<p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.111</u>  <small>(Identify type of correspondence)</small></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 872-9306</u>)</p> <p>on <u>April 15, 2004</u>  <small>(Date)</small></p> <div style="text-align: right; margin-top: 100px;"> <u>John S. Sensny</u>  <small>(Typed or Printed Name of Person Signing Certificate)</small>    <small>(Signature)</small> </div>			
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